

BENEFICIAL OWNER QUESTIONNAIRE

Legal Person



Dear client

Client's No.

According to Estonian Money Laundering and Terrorist Financing Prevention Act the Bank is obligated to collect the data of your beneficial owners. The bank ensures the confidentiality of Client's and Beneficial owner's personal data, accounts, and deposits in accordance with law. Thank you for your understanding!

Primary declaration Changes to previously reported information

Client's basic information

Company's name: Registration number / code:

Information on beneficial owner (hereinafter referred to as "BO"¹)

Name and surname:

Estonian personal code:

Citizenship(s):

To be filled by non-residents³ and residents² who have temporary right of residence or residence permit

Foreign personal code or tax identification number (TIN):

Country of personal code or TIN:

Date of birth: / / Country of birth:

Address of permanent residence:

(street, house/block No., apartment No., district, city or town (county, parish), zip code, country)

Information on identity document (non-residents)

Type of document: Passport ID card

Number of document:

Country of issue of document:

Issuing authority of document:

Date of issue of document: Valid until:

Information on BO's tax residence⁴

Is BO the tax resident of the Republic of Estonia? Yes No

Is BO the citizen / tax resident of the United States (if "yes", please submit the form W-9)? Yes No

Is BO the tax resident of another country other than the Republic of Estonia and/or the United States? Yes No

If "yes," please specify the country/countries and taxpayer identification number/numbers

Country	Taxpayer identification number
.....
.....
.....

Information on the origin of BO's income and funds

Wage Pension Scholarship Savings Receipts from close people Inheritance

Entrepreneurship (please specify the field):

From renting property (please indicate the type of property):

Dividends or interests (please specify the payer):

Loans or repayment of loans (please specify the payer):

Other (please specify):

Information on shareholding

BO's relation to the Client:

- Owns shares in the amount of: % Direct ownership Indirect ownership
 Owns shares on the basis of Declaration of Trust in the amount of: % Direct ownership Indirect ownership
 Senior managing official⁵
 Other (please specify):

Information on the status of politically exposed persons

Is BO a politically exposed person⁶ (hereinafter referred to as "PEP"), PEP's family member⁷ or a person closely related to a PEP⁸?

- No Yes, please specify the following data:

	<input type="checkbox"/> BO is PEP ⁶	<input type="checkbox"/> BO is PEP's family member ⁷	<input type="checkbox"/> BO is PEP's close associate ⁸
PEP's name and surname	<i>leave blank</i>		
Country where the PEP is currently performing his/her public functions or has performed them during the last year			
The period of performing PEP's public functions	from until	from until	from until
<i>Position (please choose)</i>			
Head of State or head of government	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Minister and deputy or assistant minister	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Member of legislative body	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Member of a governing body of a political party	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Member of a supreme court	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Member of a court of auditors or member of the management or supervisory body of a central bank	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
An ambassador, a chargé d'affaires	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
High-ranking officer in the armed forces	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Member of the board of directors and administrative or supervisory body of a company controlled by the state	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Head of state administrative unit (local government, city), mayor, deputy mayor or chairman of council	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
The head of an international organization, a deputy head and a member of the governing body, or a person performing equivalent duties.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Confirmation of the representative of the client

I hereby confirm that all of the data submitted in this questionnaire are correct and complete, and comprehend that I can be held liable for intentionally submitting incorrect or misleading information. I undertake to immediately inform the Bank of any changes to the data submitted in this questionnaire.

I am aware that personal data is processed in accordance with the General Terms and Conditions and the Rules for the Processing of Client Data of AS TBB bank, which are available at the Bank's website www.tbb.ee, thus I am aware of my rights in relation to processing and submitting the data to third persons.

Relation to the Client: Client's beneficial owner Client's representative

Name and surname

Signature

Date

Bank's remarks

Representative of the Bank:

Branch of the Bank:

¹ **Beneficial owner (BO)** is the natural person who, through ownership or otherwise control, has the ultimate controlling influence over the legal entity or in whose interest, benefit or on whose behalf the transaction or act is performed.

Where the beneficial owner cannot be identified as described above, the beneficial owner of a company shall be a natural person whose direct or indirect holding or the sum of all direct and indirect holdings in the company exceeds 25%, including holdings in bearer shares or otherwise. Direct participation means that a natural person has a personal participation in the company. Indirect participation means that a natural person has a participation in a company through one or more persons or a chain of persons.

Where, after all possible means of identification have been exhausted, the abovementioned person cannot be identified and there is no doubt that such person exists or where there are doubts as to whether the identified person is a beneficial owner, the natural person who holds the position of a senior managing official is deemed as a beneficial owner.

² **Resident** means a natural person who is the citizen of the Republic of Estonia or stays in the Republic of Estonia on the basis of the residence permit or the right of residence.

³ **Non-resident** means a natural person who does not meet the definition of a Resident.

⁴ **Tax resident** means a natural person whose income is taxable under the legislation of the country concerned, for example on the basis of permanent residence, duration of stay (as a rule, at least 183 days during 12 months), close personal or economic relations or other criteria applied as a basis for taxation of income in the country concerned (e. g. in the United States a US citizen or resident, who has been issued a residence permit (green card), are considered to be the State's resident for tax purposes).

⁵ **Natural person who holds the position of a senior managing official** is deemed as a beneficial owner where, after all possible means of identification have been exhausted, the beneficial owner cannot be identified and there is no doubt that such person exists or where there are doubts as to whether the identified person is a beneficial owner.

⁶ **Politically exposed person** means a natural person who is or who has been entrusted in the Republic of Estonia or in a foreign country with prominent public functions including a head of State or head of government, minister and deputy or assistant minister, member of legislative body, member of a governing body of a political party, member of a supreme court, member of a court of auditors or member of the management or supervisory body of a central bank, an ambassador, a chargé d'affaires, high-ranking officer in the armed forces, member of the board of directors and administrative or supervisory body of a company controlled by the state, head of state administrative unit (local government, city), mayor, deputy mayor or chairman of council, the head of an international organization, a deputy head and a member of the governing body, or a person performing equivalent duties, who is not a middle or lower level official. A politically exposed person is also considered to be a person who, according to the list published by the European Commission, is considered to perform important public authority tasks by a Member State of the European Union, the European Commission or an international organization accredited in the European Union.

⁷ **Family member of a politically exposed person** means the spouse or a person considered to be equivalent to a spouse, a child and child's spouse or a person considered to be equivalent to a spouse, a parent of a politically exposed person.

⁸ **A close associate of a politically exposed person** is a natural person who is known to be the beneficial owner of a legal person or trust with a politically exposed person; he has a close business relationship with a politically exposed person; he is the beneficial owner of a legal person or trust established for the benefit of a politically exposed person.