

CLIENT QUESTIONNAIRE

Legal Person - Non-resident



Dear company representative!

According to Estonian Money Laundering and Terrorist Financing Prevention Act and international legislation the Bank is obligated to collect information based on this questionnaire. The Bank ensures the confidentiality of Client's personal data, accounts, deposits and transactions in accordance with legislation.

Company's basic information

1. Name of the company:

2. Registration code: 3. LEI code:
(for legal persons who have the right to provide investment services)

4. Legal form (e.g. Ltd., JSC): 5. Date of registration:

6. Company registration country:

7. Legal address:

(street, house/block No., apartment No., district, city or town (county, parish), zip code, country)

Company contact data

8. Actual address (if different from legal address, p. 7)

(street, house/block No., apartment No., district, city or town (county, parish), zip code, country)

9. Postal address (if different from legal address, p. 7)

(street, house/block No., apartment No., district, city or town (county, parish), zip code, country)

10. Telephones

Office: Country code Number

Mobile: Country code Number

11. E-mail: Website:

Company representative (questionnaire filler)

12. Name and surname:

13. Personal code / date of birth:

14. Residential address:

(street, house/block No., apartment No., city or town (county, parish), zip code, country)

15. Telephones

Work: Country code Number

Home: Country code Number

Mobile: Country code Number

16. E-mail: Skype:

Which banking services/products do you plan to use?

Bank accounts Deposits Payment cards

Loan or leasing Currency exchange Online banking

Other (please specify):

Information on tax residence of the company

Tax residence means belonging to a country under legislation of which the Client is considered to be the tax resident of that country on the basis of location of the management of the Client, place of registration or foundation of the Client or any other criteria which, under the legislation of the country concerned, serves as the basis for considering the Client to be the tax resident of that country.

17. Client's country/countries of residence for tax purposes:

Country	Taxpayer identification number

Please make a mark in the box if the company is one of the following legal persons

18. A legal person who issues or may issue bearer shares in accordance with the articles of association
19. A legal person with the character of a non-profit association
20. Public authority or a company wholly owned by a public authority
21. Central bank
22. Limited liability company whose shares are regularly traded on regulated financial instruments market
23. Intergovernmental / international organisation which has a valid contract for the location of its headquarters in the Republic of Estonia and whose activity does not generate revenue for any natural person

Company representative confirmation regarding the type of activity and owners

24. Does the company provide any of the following services in the course of their business activity:

- 1) main activity is acceptance of deposits in the course of banking or similar business activity;
- 2) trading in money market instruments (cheques, bills of exchange, derivatives, certificates of deposit etc.), interest rate and index-related instruments, foreign exchange rate instruments, transferable securities, commodity futures which are traded on regulated markets at the order or on behalf of clients;
- 3) management of individual and/or collective portfolios performed by the Client at the order or on behalf of their clients;
- 4) other investments, management or administration of financial assets on behalf of third persons;
- 5) insurance of savings which guarantees the validity of life insurance upon accumulation of savings, including making investments on behalf of clients and the obligation of disbursement of the capital accrued;
- 6) custody of financial assets of third persons (in the case Client's gross income from the custody of such assets and provision of related financial services is at least 20% from the gross income of the Client).

24.1. No 24.2. Yes (submit form W8-BEN-E or GIIN-number which certifies that the company fulfills FATCA requirements)

25. Does the company have beneficial owners who are not tax residents of the Republic of Estonia?

The beneficial owner (BO) is the natural person who, through ownership or otherwise control, has the ultimate controlling influence over the legal entity or in whose interest, benefit or on whose behalf the transaction or act is performed. Where the beneficial owner cannot be identified as described above, the beneficial owner of a company shall be a natural person whose direct or indirect holding or the sum of all direct and indirect holdings in the company exceeds 25%, including holdings in bearer shares or otherwise. Direct participation means that a natural person has a personal participation in the company. Indirect participation means that a natural person has a participation in a company through one or more persons or a chain of persons.

Tax resident means a person whose income is taxable under the legislation of the country concerned, for example on the basis of permanent residence, duration of stay (as a rule, at least 183 days during 12 months), close personal or economic relations or other criteria applied as a basis for taxation of income in the country concerned (e.g. in the United States a US citizen/resident who has been issued a residence permit (green card) is considered to be the State's resident for tax purposes).

25.1. No 25.2. Yes

If "Yes", specify the country where the beneficial owner is a tax resident.

Name of the country	Name of the beneficial owner

Company's relation to Estonia

- Members of the board have e-residency Estonian taxpayer Member of the management board is a resident of Estonia
- Office, warehouse in Estonia Transport of goods through Estonia Provision of services in Estonia or targeted at Estonia
- Company has partners in Estonia Jobs created in Estonia Company has an owner / BO from Estonia
- Real Estate in Estonia (specify address)
- Other (please specify)

Company's activity areas for which the relevant banking services will be used

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If the activity requires licence or authorisation, please specify:

26. Number of activity licence / authorisation: 27. Issuing authority:
28. Number of activity licence / authorisation: 29. Issuing authority:

Short description of the company business activity in the course of which the relevant banking services will be used and payments will be made:

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Accounts in other banks

- No Yes (specify the banks and countries where you have opened accounts):

Bank	Country
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The actual activity period and number of employees of the company

30. Actual activity period of the company (years and months). 31. Number of employees:

Client's confirmation of submitting financial reports

32. Are the financial reports submitted to a national authority:
- No Yes (please specify the authority):
- 32.1. Was the financial report for the last financial year submitted?
- No Yes
33. Is the financial report available through public sources?
- No Yes (specify the source):

Where did you hear about services of TBB bank AS?

- Internet advertising The press Recommendations by other people
 Social Networking Media Other (please specify):

Information on status of a Politically Exposed Person

Does the Client have a beneficial owner who is currently or has previously, during last 12 months, been a politically exposed person or a family member or a close associate of a politically exposed person?

Politically exposed person means a natural person who is or who has been entrusted in the Republic of Estonia or in a foreign country with prominent public functions including a head of State or head of government, minister and deputy or assistant minister, member of legislative body, member of a governing body of a political party, member of a supreme court, member of a court of auditors or member of the management or supervisory body of a central bank, an ambassador, a chargé d'affaires, high-ranking officer in the armed forces, member of the board of directors and administrative or supervisory body of a company controlled by the state, head of state administrative unit (local government, city), mayor, deputy mayor or chairman of council, the head of an international organization, a deputy head and a member of the governing body, or a person performing equivalent duties, who is not a middle or lower level official. A politically exposed person is also considered to be a person who, according to the list published by the European Commission, is considered to perform important public authority tasks by a Member State of the European Union, the European Commission or an international organization accredited in the European Union.

Family member of a politically exposed person means the spouse or a person considered to be equivalent to a spouse, a child and child's spouse or a person considered to be equivalent to a spouse, a parent of a politically exposed person.

A close associate of a politically exposed person is a natural person who is known to be the beneficial owner of a legal person or trust with a politically exposed person; he has a close business relationship with a politically exposed person; he is the beneficial owner of a legal person or trust established for the benefit of a politically exposed person.

34. Yes (please fill out the Beneficial Owner Questionnaire) 35. No

Place of business is the country of registration:

36. Yes 37. No (specify country/countries where the activity is conducted):
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Branches, representative offices in other countries

38. No 39. Yes (specify the actual address):
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(street, house/block No., apartment No., city or town (county, parish), zip code, country)

Planned incoming payments on bank accounts

40. Sources of payments:
41. Revenue from buyers of goods or services 42. Revenue from shareholding in other companies
43. Investments in the companies of owners 44. Repayment of granted loans
45. Other (please specify):

46. From which partners will the payments be received?

Name / title	Registration number	Country of registration	Target country of payments
1.			
2.			
3.			
4.			
5.			
6.			
7.			

Planned outgoing payments on bank accounts

47. Purpose and regularity of payments

48. Payments for goods and services

49. Statutory national payments (taxes, duties)

50. Repayment of loans

51. Administrative expenses

52. Wages (specify the period):

53. Investments (specify investment area)

54. Other (specify)

55. Which partners do you make payments to?

Name / title	Registration number	Country of registration	Target country of payments
1.			
2.			
3.			
4.			
5.			
6.			
7.			

Monthly transactions on accounts (EUR):

Transaction type	Quantity of transactions	Average turnover of transactions
56. Incoming payments		
57. Cash deposits		
58. Outgoing payments		
59. Cash withdrawals		
60. Card payments (payments for goods and services)		
61. Cash withdrawal by card		

Planned maximum amount of one transaction (EUR):

Transaction type	Amount (EUR)
62. Cashless transaction, incl. payment cards	
63. Cash transaction	

Who did recommend to you AS TBB bank?

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I hereby confirm that the origin of funds managed by the legal person is lawful and its origin is:

- profit loans
 investments owner's, beneficiary owner's capital
 other (specify)

I hereby confirm that:

- the data submitted is correct and the origin of my funds is legal;
- I am familiar with the General Terms and Conditions and the Rules for the Processing of Client Data of the Bank, which are available at the Bank's website www.tbb.ee, thus I am aware of my rights in relation to processing and submitting the data to the third persons;
- I am aware and I understand my obligations to provide the Bank the necessary information, and if necessary, documents about myself and company's business activities. I am aware of the liability for breaching the obligations and I inform the Bank immediately of any changes in the information provided.

- I give my consent** to the Bank and other companies belonging to the same group to send me offers for financing, newsletters and advertising materials about banking products.

Additional information and remarks:

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Name and surname of the representative of the Client

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Signature

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Date

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Bank official accepting the questionnaire

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(name and surname)

Signature, stamp

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Date

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