

# CLIENT QUESTIONNAIRE

Private Person - Non-resident



## Personal data

1. Name and surname: .....
2. Date of birth: .....
3. Country of birth: .....
4. Citizenship(s): .....
5. Country of residence: .....
6. Personal code in country of residence / tax identification number: .....
7. Estonian personal code (if available): .....

## Contact data

8. Address of residence:  
.....  
.....  
*(street, house/block No., apartment No., district, city or town (county, parish), zip code, country)*

9. Contact address (if different from the address of residence, p. 8):  
.....  
.....  
*(street, house/block No., apartment No., district, city or town (county, parish), zip code, country)*

10. Telephones  
Home: Country code ..... Number .....
- Mobile: Country code ..... Number .....
11. E-mail: ..... Skype: .....

## What is your relation to Estonia?

12.  I work in an Estonian company
13.  I study in an Estonian university
14.  I am a member of the board or a shareholder in an Estonian company .....
15.  I have close relatives in Estonia (specify name, personal code): .....
16.  I own a real estate in Estonia (specify address): .....
17.  Other reason for being account owner: .....

## Social status / occupation

- Entrepreneur                       Self-employed person                       Public servant
- Wage employee                       Student                       Unemployed /Housekeeper
- Retired
- Other (please specify): .....

## Workplace / University

18. Name of the employer or the university: .....
19. Main field of activity of employer: .....
20. Position: .....
- legal advisor or company service provider, who opens accounts on his/her behalf with credit institutions to perform financial operations on customers' behalf
- external accountant, who opens accounts with credit institution on his/her behalf to perform financial operations on customer's behalf
- real estate agent
21. Registration of short-term employment in Estonia from ..... / ..... / ..... until ..... / ..... / .....
22. Phone:                      Country code .....                      Number .....
23. E-mail: .....

## Origin of income

- Wage                       Pension                       Scholarship                       Savings                       Receipts from close people                       Inheritance
- Entrepreneurship (specify the field): .....
- Rental income (indicate the type of property): .....
- Dividends or interests (specify the payer): .....
- Loans or repayment of loans (specify the payer): .....
- Other .....

## Where did you hear about services of TBB bank AS?

- Internet advertising                       The press                       Social Networking
- Recommendations by other people                       Media                       Other (please specify): .....

## Do you plan to use bank accounts for the benefit or on behalf of third parties?

24.  No, I am the beneficial owner of the funds in the accounts
25.  Yes (please fill out the Beneficial Owner Questionnaire)

## Information on tax residence

*Tax resident means a natural person whose income is taxable under the legislation of the country concerned, for example on the basis of permanent residence, duration of stay (as a rule, at least 183 days during 12 months), close personal or economic relations or other criteria applied as a basis for taxation of income in the country concerned (e. g. in the United States a US citizen/resident who has been issued a residence permit (green card) is considered to be the State's resident for tax purposes).*

26. Are you a tax resident of the Republic of Estonia?  Yes  No

27. Are you a tax resident of another country?  Yes  No

If "Yes", please specify the country/countries (other than Estonia) and taxpayer identification number(s).

Country	Taxpayer identification number
.....	.....
.....	.....
.....	.....

## Which banking services/products do you plan to use?

Current accounts  Deposits  Payment cards

Loan or leasing  Currency transactions  Online banking

Other (please specify): .....

## Information on status of a Politically Exposed Person

Are you currently or have you previously, during last 12 months, been a politically exposed person or a family member or a close associate of a politically exposed person?

**Politically exposed person** means a natural person who is or who has been entrusted in the Republic of Estonia or in a foreign country with prominent public functions including a head of State or head of government, minister and deputy or assistant minister, member of legislative body, member of a governing body of a political party, member of a supreme court, member of a court of auditors or member of the management or supervisory body of a central bank, an ambassador, a chargé d'affaires, high-ranking officer in the armed forces, member of the board of directors and administrative or supervisory body of a company controlled by the state, head of state administrative unit (local government, city), mayor, deputy mayor or chairman of council, the head of an international organization, a deputy head and a member of the governing body, or a person performing equivalent duties, who is not a middle or lower level official. A politically exposed person is also considered to be a person who, according to the list published by the European Commission, is considered to perform important public authority tasks by a Member State of the European Union, the European Commission or an international organization accredited in the European Union.

**Family member of a politically exposed person** means the spouse or a person considered to be equivalent to a spouse, a child and child's spouse or a person considered to be equivalent to a spouse, a parent of a politically exposed person.

**A close associate of a politically exposed person** is a natural person who is known to be the beneficial owner of a legal person or trust with a politically exposed person; he has a close business relationship with a politically exposed person; he is the beneficial owner of a legal person or trust established for the benefit of a politically exposed person.

28.  No      29.  Yes, I am a politically exposed person

Country where you are performing/ have performed in prominent public functions: .....

Currently or previously entrusted position and period: .....

30.  I am a family member of a PEP

31.  I am a close associate of a PEP

Name and surname of the PEP: .....

Date of birth or personal code of the PEP: .....

Country where the PEP performs or performed prominent public functions: .....

Currently or previously entrusted position and period: .....

## Accounts in other banks

32. No  33. Yes (specify the banks and countries where you have opened accounts):

Country	Bank
.....	.....
.....	.....
.....	.....

## Planned incoming payments

34.  Salary  35. Rental income  36. Loans  
 37.  Dividend / interests  38. Receipts from close people  39. Inheritance  
 40.  Other (please specify): .....

41. Specify which countries are payments received from .....

## Planned outgoing payments

42.  Household expenditure  43. Deposits  44. Payments to close people  
 45.  Repayment of loans  46. Granting of loans  
 47.  Other (please specify): .....

48. Specify which countries are payments made to .....

## Monthly transactions on accounts (EUR)

Transaction type	Quantity	Average turnover
49. Incoming payments	.....	.....
50. Cash deposits	.....	.....
51. Outgoing payments	.....	.....
52. Cash withdrawals	.....	.....
53. Card payments for goods and services	.....	.....
54. Cash withdrawals by cards	.....	.....

## Planned maximum amount of one transaction (EUR)

Transaction type	Amount
55. Cashless transaction	.....
56. Cash transaction	.....

**I hereby confirm** that:

- the data submitted is correct and the origin of my funds is legal;
- I am familiar with the General Terms and Conditions and the Rules for the Processing of Client Data of the Bank, which are available at the Bank's website [www.tbb.ee](http://www.tbb.ee), thus I am aware of my rights in relation to processing and submitting the data to the third persons;
- I am aware and I understand my obligations to provide the Bank the necessary information, and if necessary, documents about myself and my business activities. I am aware of the liability for breaching the obligations and I inform the Bank immediately of any changes in the information provided.

- I give my consent** to the Bank and other companies belonging to the same group to send me offers for financing, newsletters and advertising materials about banking products.

**Client's** name and surname, signature and date

**Bank official's** name, signature and date

.....  
 .....

.....  
 .....