

# CLIENT QUESTIONNAIRE

Private Person - resident



## Personal data

1. Name and surname: .....

2. Personal code: .....

3. Country of birth: ..... 3.1. Citizenship(s): .....

4. Basis of residence:  Estonian citizenship

Long-term residence permit  Temporary residence permit until: ..... / ..... / .....

Right of permanent residence  Right of temporary residence until: ..... / ..... / .....

5. Address of residence: .....

*(street, house/block No., apartment No., district, city or town (county, parish), zip code, country)*

6. Contact address (if different from the address of residence, p. 5): .....

*(street, house/block No., apartment No., district, city or town (county, parish), zip code, country)*

7. Telephones

Home: Country code ..... Number .....

Mobile: Country code ..... Number .....

8. E-mail: ..... Skype: .....

## Social status / occupation

- Entrepreneur  Self-employed person  Public servant  
 Wage employee  Student  Unemployed / Housekeeper  
 Retired  
 Other (please specify): .....

## Workplace or university

9. Name of the employer or the university: .....

10. Main field of activity of employer: .....

11. Position: .....

- legal advisor or company service provider, who opens accounts on his/her behalf with credit institutions to perform financial operations on Client's behalf  
 external accountant, who opens accounts with credit institution on his/her behalf to perform financial operations on Client's behalf  
 real estate agent

12. Phone: Country code ..... Number .....

13. E-mail: .....

## Monthly income and turnover (EUR)

14. Planned monthly incoming payments:

- Up to 1000     1001 - 2000     2001 - 5000     5001 - 15 000     15 001 - 100 000     over 100 000

15. Planned monthly cash deposits:

- Up to 1000     1001 - 2000     2001 - 5000     5001 - 15 000     15 001 - 100 000     over 100 000

16. Planned monthly cash withdrawals:

- Up to 1000     1001 - 2000     2001 - 5000     5001 - 15 000     15 001 - 100 000     over 100 000

17. Specify which countries are payments received from .....

18. Specify which countries are payments made to .....

## Origin of income

- Wage     Pension     Scholarship     Savings     Receipts from close people     Inheritance
- Entrepreneurship (specify the field): .....
- Rental income (indicate the type of property): .....
- Dividends or interests (specify the payer): .....
- Loans or repayment of loans (specify the payer): .....
- Other .....

## Information on tax residence

*Tax resident means a natural person whose income is taxable under the legislation of the country concerned, for example on the basis of permanent residence, duration of stay (as a rule, at least 183 days during 12 months), close personal or economic relations or other criteria applied as a basis for taxation of income in the country concerned (e. g. in the United States a US citizen/resident who has been issued a residence permit (green card) is considered to be the State's resident for tax purposes).*

19. Are you a tax resident of the Republic of Estonia?     Yes     No

20. Are you a tax resident of another country?     Yes     No

If "Yes", please specify the country/countries (other than Estonia) and taxpayer identification number(s).

Country	Taxpayer identification number
.....	.....
.....	.....
.....	.....

## Which banking services/products do you plan to use?

- Current accounts     Deposits     Payment cards
- Loan or leasing     Currency transactions     Online banking
- Other (please specify): .....

## Do you plan to use bank accounts for the benefit or on behalf of third parties?

21.  No, I am the beneficial owner of the funds in the accounts
22.  Yes (please fill out the Beneficial Owner Questionnaire)

## Information on status of a Politically Exposed Person

Are you currently or have you previously, during last 12 months, been a politically exposed person or a family member or a close associate of a politically exposed person?

**Politically exposed person** means a natural person who is or who has been entrusted in the Republic of Estonia or in a foreign country with prominent public functions including a head of State or head of government, minister and deputy or assistant minister, member of legislative body, member of a governing body of a political party, member of a supreme court, member of a court of auditors or member of the management or supervisory body of a central bank, an ambassador, a chargé d'affaires, high-ranking officer in the armed forces, member of the board of directors and administrative or supervisory body of a company controlled by the state, head of state administrative unit (local government, city), mayor, deputy mayor or chairman of council, the head of an international organization, a deputy head and a member of the governing body, or a person performing equivalent duties, who is not a middle or lower level official. A politically exposed person is also considered to be a person who, according to the list published by the European Commission, is considered to perform important public authority tasks by a Member State of the European Union, the European Commission or an international organization accredited in the European Union.

**Family member of a politically exposed person** means the spouse or a person considered to be equivalent to a spouse, a child and child's spouse or a person considered to be equivalent to a spouse, a parent of a politically exposed person.

**A close associate of a politically exposed person** is a natural person who is known to be the beneficial owner of a legal person or trust with a politically exposed person; he has a close business relationship with a politically exposed person; he is the beneficial owner of a legal person or trust established for the benefit of a politically exposed person.

23.  No      24.  Yes, I am a politically exposed person

Country where you are performing/ have performed in prominent public functions: .....

Currently or previously entrusted position and period: .....

25.  I am a family member of a PEP                      26.  I am a close associate of a PEP

Name and surname of the PEP: .....

Date of birth or personal code of the PEP: .....

Country where the PEP performs or performed prominent public functions: .....

Currently or previously entrusted position and period: .....

## Accounts in other banks

27.  No      28.  Yes (specify the banks and countries where you have opened accounts):

Country	Bank
.....	.....
.....	.....
.....	.....

## Where did you hear about services of TBB bank AS?

- Internet advertising                       The press                       Social Networking  
 Recommendations by other people.                       Media                       Other (please specify): .....

**I hereby confirm** that:

- the data submitted is correct and the origin of my funds is legal;
- I am familiar with the General Terms and Conditions and the Rules for the Processing of Client Data of the Bank, which are available at the Bank's website [www.tbb.ee](http://www.tbb.ee), thus I am aware of my rights in relation to processing and submitting the data to the third persons;
- I am aware and I understand my obligations to provide the Bank the necessary information, and if necessary, documents about myself and my business activities. I am aware of the liability for breaching the obligations and I inform the Bank immediately of any changes in the information provided.

- I give my consent** to the Bank and other companies belonging to the same group to send me offers for financing, newsletters and advertising materials about banking products.

**Client's name and surname, signature and date**

**Bank official's name, signature and date**

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 .....

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 .....