

# CLIENT QUESTIONNAIRE

## Private Person - resident

To a parent, guardian or authorized person



### Personal data

1. Name and surname: .....
2. Personal code: .....
3. Country of birth: .....
4. Basis of residence:  Estonian citizenship  
 Long-term residence permit  Temporary residence permit until: ..... / ..... / .....  
 Right of permanent residence  Right of temporary residence until: ..... / ..... / .....
5. Citizenship(s): .....
6. Address of residence:  
.....  
*(street, house/block No., apartment No., district, city or town (county, parish), zip code, country)*
7. Contact address (if different from the address of residence, p. 6):  
.....  
*(street, house/block No., apartment No., district, city or town (county, parish), zip code, country)*
8. Telephones  
Home: Country code ..... Number .....  
Mobile: Country code ..... Number .....
9. E-mail: ..... Skype: .....

### Personal and contact data of the representative

10. The right of representation:  by law  by power of attorney  by court order  
Date of expiration: .....
11. Name and surname: .....
12. Personal code (if absent, date of birth): .....
13. Address of residence:  
.....  
*(street, house/block No., apartment No., district, city or town (county, parish), zip code, country)*
14. Telephones  
Home: Country code ..... Number .....  
Mobile: Country code ..... Number .....
15. E-mail: ..... Skype: .....

### Social status / occupation

- Entrepreneur  Self-employed person  Public servant  
 Wage employee  Student  Unemployed / Housekeeper  
 Retired  
 Other (please specify): .....

## Workplace or university

16. Name of the employer or the university: .....
17. Position: .....
- legal advisor or company service provider, who opens accounts on his/her behalf with credit institutions to perform financial operations on Client's behalf
- external accountant, who opens accounts with credit institution on his/her behalf to perform financial operations on Client's behalf
- real estate agent
18. Phone: Country code ..... Number .....
19. E-mail: .....

## Monthly income and turnover (EUR)

20. Planned monthly incoming payments:
- Up to 1000     1001 - 2000     2001 - 5000     5001 - 15 000     15 001 - 100 000     over 100 000
21. Planned monthly cash deposits:
- Up to 1000     1001 - 2000     2001 - 5000     5001 - 15 000     15 001 - 100 000     over 100 000
22. Planned monthly cash withdrawals:
- Up to 1000     1001 - 2000     2001 - 5000     5001 - 15 000     15 001 - 100 000     over 100 000
23. Specify which countries are payments received from? .....
24. Specify which countries are payments made to? .....

## Origin of income

- Wage     Pension     Scholarship     Savings     Receipts from close people     Inheritance
- Entrepreneurship (specify the field): .....
- From renting property (indicate the type of property): .....
- Dividends or interests (specify the payer): .....
- Loans or repayment of loans (specify the payer): .....
- Other .....

## Information on tax residence

*Tax resident means a natural person whose income is taxable under the legislation of the country concerned, for example on the basis of permanent residence, duration of stay (as a rule, at least 183 days during 12 months), close personal or economic relations or other criteria applied as a basis for taxation of income in the country concerned (e. g. in the United States a US citizen/resident who has been issued a residence permit (green card) is considered to be the State's resident for tax purposes).*

25. Is the Client a tax resident of the Republic of Estonia?     Yes     No
26. Is the Client a tax resident of another country?     Yes     No

If "Yes", please specify the country/countries (other than Estonia) and Client's taxpayer identification number(s).

Country	Taxpayer identification number
.....	.....
.....	.....
.....	.....

## Which banking services/products do you plan to use?

- Current accounts     Deposits     Payment cards
- Loan or leasing     Currency transactions     Online banking
- Other (please specify): .....

## Will the Client use current accounts for the benefit or on behalf of third parties?

27.  No, Client the beneficial owner of the funds in the accounts    28.  Yes (please fill out the Beneficial Owner Questionnaire)

## Is the Client now or was previously been a politically exposed person or a family member or a close associate of a politically exposed person?

**Politically exposed person (hereinafter PEP)** means a natural person who is or who has been entrusted in the Republic of Estonia or in a foreign country with prominent public functions including a head of state, head of government, minister and deputy or assistant minister; a member of parliament or of a similar legislative body, head of an administrative entity (municipal entity) of state, a member of a governing body of a political party, a member of a supreme court, a member of a court of auditors or of the board of a central bank; an ambassador, a chargé d'affaires and a high-ranking officer in the armed forces; a member of an administrative, management or supervisory body of a state-owned enterprise; a director, deputy director and member of the board or equivalent function of an international organisation, except middle-ranking or more junior officials.

**Family member of a PEP** means the spouse, or a person considered to be equivalent to a spouse, of a PEP; a child and their spouse, or a person considered to be equivalent to a spouse, of a PEP; a parent of a PEP.

**Close associate of a PEP** means a natural person who is known to be the beneficial owner or to have joint beneficial ownership of a legal person or a legal arrangement, or any other close business relations, with a politically exposed person; and a natural person who has sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the de facto benefit of a politically exposed person.

29.  No      30.  Yes, Client is a politically exposed person

The country in which the Client fulfills or previously performed prominent public functions: .....

Currently or previously entrusted position and period: .....

31.  Client is a family member of a PEP      32.  Client is a close associate of a PEP

Name and surname of the PEP: .....

Date of birth or personal code of the PEP: .....

Country where the PEP performs or performed prominent public functions: .....

Currently or previously entrusted position and period: .....

## Accounts in other banks

33.  No      34.  Yes (specify the banks and countries where Client has open current accounts):

Country	Bank
.....	.....
.....	.....
.....	.....

## Where did you hear about services of TBB bank AS?

- Internet advertising       The press       Social Networking  
 Recommendations by other people.       Media       Other (please specify): .....

**I hereby confirm** that:

- the data submitted is correct and the origin of client's funds is legal;
- I am familiar with the General Terms and Conditions and the Rules for the Processing of Client Data of the Bank, which are available at the Bank's website [www.tbb.ee](http://www.tbb.ee), thus I am aware of my rights and rights of the client in relation to processing and submitting the data to the third persons;
- I am aware and I understand my obligations to provide the Bank the necessary information, and if necessary, documents about myself, client and client's business activities. I am aware of the liability for breaching the obligations and I inform the Bank immediately of any changes in the information provided.

**I give my consent** to the Bank and other companies belonging to the same group to send me offers for financing, newsletters and advertising materials about banking products.

**Client's representative** name and surname, signature and date

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.....

**Bank official's** name, signature and date

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